Lessons on transcriptional control from the serum response network
Matthew D Galbraith and Joaquin M Espinosa

Response to environmental stimuli is critical for cell survival and function and requires high fidelity signal transduction into the nucleus to facilitate the coordinated transcriptional regulation of appropriate gene networks. The cellular response to mitogenic stimuli provides an excellent paradigm to decipher the mechanisms mediating precise gene expression control at the transcriptional level. Here we review recent advances in our understanding of this so-called serum response network, which illuminate novel aspects of nuclear signaling mechanisms, combinatorial control by DNA binding proteins and regulation of RNA polymerase II (RNAPII) elongation.

Address
Howard Hughes Medical Institute, Department of Molecular, Cellular and Developmental Biology, University of Colorado at Boulder, United States

Corresponding author: Espinosa, Joaquin M (joaquin.espinosa@colorado.edu)

Lessons on nuclear signaling: ‘fold-change’ regulation and DNA binding by nuclear kinases

Many signaling pathways rely on phosphorylation events to transduce environmental stimuli into specific transcriptional programs, and the serum response network continues to spearhead our understanding of this phenomenon. A recent study of ERK activation using single cell imaging techniques revealed a novel aspect of nuclear signaling. While measuring translocation of fluorescently tagged ERK2 into the nuclei of cells stimulated by EGF, Cohen-Saidon et al. [3**] observed a wide variation (up to fourfold) in the basal levels of nuclear ERK2 across individual cells. However, the fold-increase in nuclear ERK2 upon stimulation and the overall timing of nuclear accumulation (peaking around 13 min) were remarkably similar among cells. Furthermore, levels of nuclear ERK2 dropped down to within 10% of their individual pre-stimulation levels by 30 min post-EGF addition. These results indicate that ERK signaling follows a ‘fold-change’ rather than ‘absolute change’ logic, by which the cellular outcome to ERK activation may be independent of the initial basal levels of nuclear ERK. This signaling strategy may not be exclusive to the serum response network and it has also been described for the β-catenin pathway [4].

Upon translocation into the nucleus ERK1/2 phosphorylate a number of targets, which results in the strong and rapid (yet transient) induction of IEGs. Several DNA binding transcription factors are targets of ERK kinase activity, most prominent among them are members of the ETS-domain family, such as ELK1 and ETS1/2 [5,6]. Phosphorylation of ETS factors by ERK increases their transactivation potential mostly by allowing increased interaction with cofactors such as the histone acetyltransferases (HATs) CBP/p300 and the Mediator co-activator complex [5–8]. A recent phospho-proteomics study by Kosako et al. [9*] identified several additional nuclear...
targets of ERK, including the BAF155 subunit of the chromatin remodeling complex SWI/SNF, the mRNA processing factors hnRNPK and U1 snRNP70 and the nucleoporin NUP50. These observations suggest that ERK signaling may promote increased gene activity at other steps beyond mere enhancement of transactivator function, such as nucleosome remodeling, mRNA processing and export. The ERK-activated kinases MSK1/2 further contribute to gene activation, as they phosphorylate additional DNA binding proteins, histone H3 at serine 10 and the chromatin-associated protein HMGN1 (recently reviewed in [10]).

Interestingly, independent studies have shown that ERK1/2 are recruited to promoters of serum response genes upon stimulation, indicating that ERK-mediated signaling occurs in situ at target promoters where the transcriptional apparatus is assembled and activated [11,12**]. In this scenario ERK is recruited to IEGs via interaction with the DNA binding proteins it phosphorylates, as indicated by the fact that ELK1 mutants lacking ERK-docking sites fail to recruit the kinase to DNA in vitro [12**]. Surprisingly, a recent study looking for novel DNA-protein interactions found that ERK2 is able to directly bind DNA sequences matching the consensus G/CAAAG/C [13*]. Intriguingly, ERK2 binding resulted in the repression of gene promoters carrying this cis element in a kinase activity-independent manner. In fact, of 82 genes upregulated upon ERK2 knockdown, 78 contained the ERK2-binding sequence. Of note, this gene set was enriched for IFNγ-inducible genes, indicating that this novel ERK2 function serves to attenuate interferon sig-
naling [13,14]. The DNA binding domain of ERK2 was mapped to a region called the MAPK insert, which is also found in cyclin-dependent kinases and GSK3 [14], thus opening the possibility that other kinases also bind DNA directly. In fact, recruitment of MAPKs and several other signaling kinases to the chromatin of its target genes has been extensively documented in yeast [15].

**Lessons on combinatorial control of gene expression: mixing and matching for specificity and robustness**

The serum response network provides a clear paradigm to understand how a limited number of transcription factors can orchestrate a myriad of biological responses in a stimulus-specific and cell type-specific manner. The ETS-domain family of transcription factors contains at least 27 members and it is unclear to what degree they have specialized or redundant functions [16]. Historically, ELK1 was identified as the Ternary Complex Factor (TCF) partnering with the Serum Response Factor (SRF) to activate IEGs carrying both ETS-sites and CArG-boxes, such as FOS and EGR1 [17]. However, it is now clear that both ELK1 and SRF have additional, non-overlapping functions (Figure 2). Recent genome-wide analysis of ELK1 occupancy by Boros et al. [18] revealed that a significant fraction of ELK1 target genes are not bound by SRF, and instead ELK1 binds these genes redundantly with other ETS-domain factors. Interestingly, this subset of SRF-independent ELK1 targets is enriched for several core components of the transcriptional regulation machinery [19]. Indeed, ELK1 was required for increased expression of subunits of the general transcription factors TFIIA, TFIIIB and TFIID. Thus, ELK1 is involved not only in the induction of IEGs (working mostly with SRF) but also in the upregulation of the transcriptional apparatus (working independently of SRF), which may prepare cells for the increased transcriptional activity observed after serum stimulation. Conversely, SRF has clear separate functions not involving ELK1 or other ETS factors, but acting instead in partnership with the myocardin related transcription factors (MRTFs), which are activated by a novel signaling pathway involving Rho-family GTPases and monomeric actin (recently reviewed in [20]).

Mixing and matching for specificity has also been observed for ETS1. Despite the fact that T-cells express mRNAs for up to 17 ETS transcription factors displaying similar DNA sequence preferences, ablation of ETS1 in mouse leads to a specific defect in T-cell activation [21]. Using ChIP-ChIP and ChIP-Seq experiments, the Graves lab illuminated possible mechanisms driving this specificity [22,23]. In a first study using promoter arrays they observed two classes of ETS1-bound genes. The first class showed redundant promoter occupancy of ETS1 with two other ETS factors, GABPA and ELF1, and carried an ETS consensus site (CCGGAAGT) closely matching the in vitro derived consensus for several ETS family members (Figure 2). The second class of promoters was bound only by ETS1 and carried a sequence with a core GGA motif that deviates from the ETS consensus [22]. In a second study using ChIP-Seq, they made the interesting observation that whereas ETS1 and GABPA actually co-occupy active promoters of housekeeping genes, ETS1 specifically occupies the enhancers of genes important for T-cell function [23]. Interestingly, these ETS-bound enhancers were also occupied by the transcription factor RUNX and carried a composite ETS/RUNX binding sequence. Thus, small variations in DNA sequences create different repertoires of ETS1 target genes by dictating which interacting partner is employed at different sites (Figure 2). Furthermore, CBP colocalized with ETS1 at enhancers, but not promoters, suggesting that ERK-dependent signaling, which leads to increased ETS1-CBP interaction [6], may preferentially affect histone acetylation at T-cell enhancers.

A recent study by Balamotis et al. [24] revealed another property of combinatorial control by ETS factors: robustness. They found that whereas the MED23 subunit of Mediator is fully required for ELK1-dependent activation of the EGR1 gene in embryonic stem cells (ESCs), this requirement was much decreased in murine embryonic fibroblasts (MEFs), which express lower levels of ELK1. This conundrum was solved by the observation that in MEFs Mediator was recruited by two additional ETS factors acting at the EGR1 promoter, SAP1 and NET, which interact with Mediator mostly independently of MED23 [24] (Figure 2b). Thus, combinatorial interactions between the activation domains of related ETS factors and different Mediator subunits ensure that EGR1 is robustly activated across different cell types expressing unique ETS factor profiles.

**Lessons on regulation of RNAPII at post-recruitment steps: novel roles for Mediator and histone modifications in elongation control**

The serum response network has proved to be an excellent model for studying mechanisms of post-recruitment regulation of RNAPII as many IEGs have paused RNAPII at their promoters, a phenomenon which appears to be a common feature of many rapidly inducible genes [25–27]. It is well established that the Negative Elongation Factor (NELF) and DRB-Sensitivity Inducing Factor (DSIF) collaborate to mediate RNAPII pausing, which in turn is alleviated by CDK9, the catalytic subunit of the Positive Elongation Factor b (P-TEFb). CDK9 phosphorylates NELF, DSIF and the C-terminal domain (CTD) of RNAPII [28], but it is not fully understood exactly how these phosphorylation events promote elongation. A key unresolved issue in the elongation field is how P-TEFb is recruited to promoters in a stimulus-specific and gene-specific manner. Once again, studies of the serum
Lessons on transcriptional control from the serum response network

Galbraith and Espinosa

Activation of preloaded transcription complexes at promoters of many IEGs in response to serum-stimulation requires ERK-mediated phosphorylation of ELK1, which induces an interaction with MED23, thus leading to recruitment of the Mediator complex [7]. Knockout of MED23 in mice abolishes EGR1 activation in ESCs and somewhat reduces recruitment of RNAPII and GTFs but, importantly, also reduces the rate of elongation by the remaining promoter-bound RNAPII, demonstrating that Mediator affects both recruitment and post-recruitment steps [8]. In further support of a post-recruitment role for Mediator, we have recently found that the CDK8 kinase subunit of Mediator is a positive regulator of serum response genes by virtue of its ability to enhance elongation [29**,30]. In response to serum, CDK8 is recruited to the promoters of IEGs and depletion of CDK8 impairs induction of these genes. While recruitment of RNAPII to these promoters is not affected, there is a clear defect in CTD-phosphorylation and elongation rates, concomitant with reduced recruitment of CDK9. Interestingly, biochemical purification and MudPit analysis showed that both CDK8-Mediator and the free CDK8-module (formed by CDK8, Cyclin C, MED12 and MED13) interact with P-TEFb [29**], indicating that Mediator regulates elongation at least in part by enabling recruitment of this key elongation factor.

Detailed analysis of the transcriptional events at the FOSL gene has revealed a different mechanism of P-TEFb recruitment involving the coordinated action of histone modifications. It has been repeatedly observed that acetylation of histones H3 and H4 as well as phosphorylation of histone H3 at serine 10 is associated with IEG activation (reviewed in [31]). Remarkably, Zippo et al. [32**] have elucidated a mechanism by which H3S10P can promote elongation. Serum-inducible phosphorylation of pre-acetylated H3 at the FOSL enhancer was found to increase RNAPII elongation and P-TEFb recruitment. Further investigation showed that H3S10P
was required for binding of 14-3-3 proteins that in turn mediated recruitment of the HAT MOF to the enhancer. Subsequently, MOF-dependent acetylation of lysine 16 on histone H4 (H4K16Ac) promoted binding of the bromodomain-containing protein BRD4, which is known to interact with both acetyl-lysines and P-TEFb [33]. Thus, a relay of histone phosphorylation-acetylation leads to P-TEFb recruitment and enhanced elongation via the adaptor protein BRD4 (Figure 3).

A number of reports point to a connection between Mediator, BRD4 and histone phospho-acetylation. First, several independent studies showed that BRD4 interacts with Mediator suggesting that Mediator may recruit P-TEFb via BRD4 (reviewed in [33]). However, it is also clear that BRD4 interacts with Mediator independent of the presence of the CDK8-module [33]. Thus it is possible to envision at least two independent means by which Mediator may associate with P-TEFb, one via BRD4 interaction with core Mediator and a second one via P-TEFb binding to a subunit of the CDK8-module (or a CDK8-module associated factor). Importantly, a novel P-TEFb-containing complex, dubbed Super Elongation Complex (SEC) has been recently identified [34]. This complex lacks BRD4 but contains instead other potent regulators of elongation such as the ELL factors. It would be interesting to determine if SEC subunits interact with Mediator. Second, a variant of the CDK8-Mediator complex has been shown to associate with the HAT GCN5L and the associated factor TRRAP [35**]. Interestingly, this form of Mediator, named T/G Mediator, can catalyze phospho-acetylation of histone H3 in vitro, which could perhaps contribute to P-TEFb recruitment by a 14-3-3/BRD4 mechanism similar to that described by Zippo et al. [32**]. Although these findings suggest a functional interplay between Mediator, P-TEFb and histone modifications, it remains unclear how these events are coordinated and whether they co-occur on specific gene loci. Of note, our studies showed that CDK8-Mediator regulates P-TEFb recruitment to the FOS and EGR1 loci without affecting histone acetylation marks recognized by BRD4 [29**].

Conclusions
Advancing our already sophisticated understanding of transcriptional regulation requires amenable and robust experimental paradigms. Because of its universality, ease of use and biomedical relevance, the serum response network has provided a powerful discovery platform, but it remains to be determined to what extent the principles established in this network apply to other transcriptional programs. How widespread is the ‘fold-change’ logic described for ERK signaling? Which other signaling pathways employ direct DNA binding by their terminal kinases? What other families of related transcription factors use the mix-and-match strategy for output specificity? Is Mediator a regulator of RNAPII elongation outside of the serum response.

---

**Figure 3**

Post-recruitment regulation of RNAPII. Upon activation ERK, along with MSK1/2, is recruited to pre-loaded transcription complexes that include paused RNAPII and CBP/p300. Subsequent phosphorylation of ELK1 by ERK1/2 induces an interaction with MED23 leading to the recruitment of CDK8-Mediator, which is required for productive transcription. The HAT GCN5L and the associated factor TRRAP are known to interact with CDK8-Mediator and thus contribute to histone H3 phospho-acetylation. Importantly, release of paused RNAPII requires P-TEFb and the activity of its CDK9 subunit which phosphorylates NELF, DSIF and the RNAPII CTD to stimulate elongation. Mediator is thought to contribute to P-TEFb recruitment via an uncharacterized interaction with the CDK module and/or by interaction with BRD4. BRD4 is also able to recruit P-TEFb by binding acetylated histones. It has been demonstrated for the FOSL enhancer that a relay from H3S10 phosphorylation to H4K16 acetylation, mediated by PIM1, 14-3-3 and MOF, leads to BRD4/P-TEFb recruitment.
network? Future studies of other transcriptional programs will bring answers to these questions. In the meantime, we look forward to more lessons from the serum response network.

Acknowledgements

Work in the Espinosa lab is supported by grants from the National Institute of Health (RO1-CA117907) and National Science Foundation (MCB-0842974). JME is a Howard Hughes Medical Institute Early Career Scientist.

References and recommended reading

Papers of particular interest, published within the period of review, have been highlighted as:

**of special interest

** of outstanding interest


4. Goentoro L, Kirschner MW: Evidence that fold-change, and stimulus levels. of nuclear ERK, and that most cells returned to their individual pre-stimulus levels.

5. Foulds CE, Nelson ML, Blaszczak AG, Graves BJ: hnRNPK and U1 snRNP70. This paper reports the results of a phosphoproteomic screen that identi-


7. Galbraith M, Dear M, Vougier S, Saxton J, Shaw PJ: Mitogen-induced recruitment of ERK and MSK to SRE promoter complexes by ternary complex factor Elk-1. Nucleic Acids Res 2008, 36:2594-2607. This study demonstrated that mitogen-stimulated recruitment of ERK1/2 and MSK1/2 to the FOS and EGR1 promoters is dependent on MAPK docking domains in ELK1.


13. Cochrane MN: Mitogen-stimulated recruitment of ERK1/2 and MSK1/2 to the FOS and EGR1 promoters is dependent on MAPK docking domains in ELK1.


16. Galbraith M, Dear M, Vougier S, Saxton J, Shaw PJ: Mitogen-induced recruitment of ERK and MSK to SRE promoter complexes by ternary complex factor Elk-1. Nucleic Acids Res 2008, 36:2594-2607. This study demonstrated that mitogen-stimulated recruitment of ERK1/2 and MSK1/2 to the FOS and EGR1 promoters is dependent on MAPK docking domains in ELK1.

17. Galbraith M, Dear M, Vougier S, Saxton J, Shaw PJ: Mitogen-induced recruitment of ERK and MSK to SRE promoter complexes by ternary complex factor Elk-1. Nucleic Acids Res 2008, 36:2594-2607. This study demonstrated that mitogen-stimulated recruitment of ERK1/2 and MSK1/2 to the FOS and EGR1 promoters is dependent on MAPK docking domains in ELK1.

18. Galbraith M, Dear M, Vougier S, Saxton J, Shaw PJ: Mitogen-induced recruitment of ERK and MSK to SRE promoter complexes by ternary complex factor Elk-1. Nucleic Acids Res 2008, 36:2594-2607. This study demonstrated that mitogen-stimulated recruitment of ERK1/2 and MSK1/2 to the FOS and EGR1 promoters is dependent on MAPK docking domains in ELK1.


CDK8, previously thought of as a repressive Mediator component, is here demonstrated to be a positive regulator of the serum response network. Knockdown of CDK8 was found to lower RNAII elongation and impair P-TEFb recruitment to IEGs. Furthermore, biochemical analysis showed that P-TEFb interacts with the CDK-module.


In this detailed analysis of the role of histone H3 serine 10 phosphorylation at the intronic enhancer of FOSL, the authors demonstrate that this modification is required for binding of 14-3-3 proteins which recruit the histone acetyl-transferase MOF. The subsequent acetylation of histone H4 at lysine 16 was important for binding of BRD4 and P-TEFb, providing a link between H3S10P and elongation.


In this work, Mediator containing the CDK8-module was found to associate with the histone acetyl-transferase GCN5L, and the TRRAP polypeptide. This ‘T/G Mediator’ was able to phosphorylate H3S10 and acetylate H3K14 in vitro suggesting a role in gene activation.